

Dynamical Discontinuous Feedback Strategies in the Regulation of Nonlinear Chemical Processes

Hebertt Sira-Ramírez and Orestes Llanes-Santiago

Abstract—In this article, a unified approach is proposed for the design of dynamical discontinuous feedback controllers leading to the chattering-free stabilization of nonlinear single input single output systems describing chemical processes. The adopted framework is that of a Generalized State Representation form of the given nonlinear plant. Use is made of the associated Generalized Observability Canonical Form of such representation. Unification of discontinuous feedback policies is achieved by zeroing of an input-dependent auxiliary output function using simple discontinuous feedback control paradigms of various kinds. The zeroing of such scalar stabilizing function induces asymptotically stable controlled dynamics on the given nonlinear minimum-phase plant. Pulse-Frequency-Modulation, Pulse-Width-Modulation and Sampled Sliding Mode control strategies are considered from this unified viewpoint. Examples are provided including simulations.

Index Terms— Pulse-Frequency-Modulation, Pulse-Width-Modulation ; Sampled Sliding Mode Control, Chemical Processes

I. INTRODUCTION

RECENTLY, RESULTS FROM THE differential algebraic approach to control theory, pioneered by Prof. M. Fliess [1], [2] have greatly improved the applicability of discontinuous feedback strategies, especially those of the sliding mode (SM) type, leading to asymptotic stabilization, and tracking, in nonlinear systems (see Sira-Ramírez [3] and Sira-Ramírez *et al* [4] for applications to mechanical and electromechanical systems). Some of the traditional disadvantages of sliding and sampled sliding mode control policies are fundamentally related to the "bang-bang" character of the input signals and the associated "chattering" of output and state variables response signals (Utkin [5]). These difficulties are circumvented via dynamical sliding mode controllers while retaining the outstanding robustness, and simplicity, of this class of feedback control schemes.

In this article, Fliess's Generalized Observability Canonical Form (GOCF) is shown to naturally allow for dynamical feedback controller design based on Pulse-Frequency Modulation (PFM) strategies, Pulse-Width-Modulation (PWM) policies

and Sampled Sliding Modes (SSM). The obtained control input signals are substantially smoothed with respect to their corresponding static alternative and, hence, chattering-free discontinuously controlled responses are generated. The obtained PFM and PWM controller designs do not resort to traditional approximation schemes, based on (infinite frequency) average models of the discontinuously controlled systems (Sira-Ramírez [6]). These features are particularly important in chemical process control tasks in which discontinuities, or "jumps", cannot be simply allowed on the actuator behaviour, and where fast vibrations of the regulated variables are usually not tolerated, due to their negative effect on the quality specifications of the final product. A sufficiently "smooth" control policy is, therefore, usually desirable while a need, definitely, exists for certain degree of robustness, and precision, of the proposed feedback control scheme.

The synthesis of several dynamical discontinuous regulators, here proposed, is based on Fliess's GOCF for nonlinear single-input single-output systems ([1]). In section II of this article, we briefly address the dynamical Sliding Mode (SM) control solution of the output stabilization problem and present the PFM, PWM and SSM controller design schemes. In section III, we present some illustrative examples, along with encouraging simulations. The first example, taken from Kravaris and Palanki [7], is concerned with the regulation of total concentration control in an isothermal Continuously Stirred Tank Reactor (CSTR). In the second example, a discontinuous feedback control regulator is designed for the stabilization of the output concentration of a certain chemical agent, in a Double Effect Evaporator (DEE) system, extensively used in the Food and Paper industries among others. In both examples, simulations are provided which depict the advantageous features of dynamical discontinuous controls. Concluding remarks are collected at the end of the article.

II. DYNAMICAL DISCONTINUOUS FEEDBACK CONTROL OF NONLINEAR SYSTEMS

The results of this section may be extended to tracking problems ([3], [4]) and to multivariable cases (see Sira-Ramírez and Llanes-Santiago [8]).

Fliess's Generalized Observability Canonical Form

Let $u^{(i)}$ stand for the i -th time derivative of the input function $u(t)$. We denote $u^{(0)}$ simply by u , while the first time derivative of $u(t)$ is indistinctively represented by $u^{(1)}$ or \dot{u} .

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It has been shown in [1] (see also Conte *et al* [9]) that a nonlinear, single-input single-output n -dimensional analytic system of the form:

$$\begin{aligned}\dot{x} &= f(x, u) \\ y &= h(x)\end{aligned}\quad (1)$$

can be locally transformed, via an input-dependent state coordinate transformation of the form

$$z = \Phi(x, u, \dot{u}, \dots, u^{(\alpha-1)}) \quad (2)$$

with $z \in R^n$, into an n -dimensional system of the form

$$\begin{aligned}\dot{z}_1 &= z_2 \\ \dot{z}_2 &= z_3 \\ &\dots \\ \dot{z}_n &= c(z, u, \dot{u}, \dots, u^{(\alpha)}) \\ y &= z_1\end{aligned}\quad (3)$$

provided the following *observability* matrix of the system

$$\begin{bmatrix} \frac{\partial h(x)}{\partial x} \\ \frac{\partial h^{(1)}(x)}{\partial x} \\ \dots \\ \frac{\partial h^{(n-1)}(x, u, \dot{u}, \dots, u^{(\alpha-1)})}{\partial x} \end{bmatrix} \quad (4)$$

is full rank n , where $h^{(i)}$ stands for the *total* i -th time derivative of the output function $h(x(t))$. We also used h for $h^{(0)}$ and $h^{(1)}$ for the first time derivative of $h(x(t))$. In (3), the integer α is assumed to be a strictly positive integer, i.e., the system is not necessarily *relative degree* n , and therefore it is not exactly linearizable by means of *static* state feedback (see Isidori [10]). Extension of the results presented here to systems of this class is found in Sira-Ramírez and Llanes-Santiago [11].

It should be remarked, however, that, in general, (3) is not necessarily, n -dimensional. Our assumption, thus, corresponds to one of a *minimal realization* on (1).

The state coordinate transformation (2) is evidently given by the local diffeomorphism:

$$z = \Phi(x, u, \dot{u}, \dots, u^{(\alpha-1)}) = \begin{bmatrix} h(x) \\ h^{(1)}(x) \\ \dots \\ h^{(n-1)}(x, u, \dot{u}, \dots, u^{(\alpha-1)}) \end{bmatrix} \quad (5)$$

Suppose $u = U$, $x = X(U)$ describe a constant equilibrium point for the original system (1), such that $h(X(U))$ is zero. Then, $z = 0$ is an equilibrium point for (3). The autonomous dynamics described by:

$$c(0, u, \dot{u}, \dots, u^{(\alpha)}) = 0 \quad (6)$$

is the *zero dynamics* (see Fliess [12]). The stability nature of an equilibrium point $u = U$ of (6) determines the *minimum* or *nonminimum phase* character of the system (1). The equilibrium point for (1) entitles a constant input signal u given by, $u = U$, while the corresponding equilibrium value of the state vector, x , is denoted by $x(U) = X(U)$ and the resulting output signal y is assumed to have a constant equilibrium value

equals to zero, i.e. $y(U) = Y(U) = 0$. We briefly denote the constant equilibrium point for system (1) $(X(U), U, Y(U))$ as $(X(U), U, 0)$.

A GOCF Approach to Dynamical Discontinuous Feedback Controller Design for Nonlinear Systems

Consider the following auxiliary output function $s : R^n \rightarrow R$, defined in terms of the transformed variable z ,

$$s(z) = \left(\sum_{i=1}^{n-1} \gamma_i z_i \right) + z_n \quad (7)$$

such that the following corresponding polynomial in the complex variable λ is Hurwitz:

$$\left(\sum_{i=1}^{n-1} \gamma_i \lambda^{i-1} \right) + \lambda^{n-1} \quad (8)$$

Suppose that the system is locally minimum phase around $(X(U), U, 0)$. It is easy to see that if (7) is forcefully constrained to zero (whether in finite time, or in an asymptotically stable fashion) by means of appropriate control actions (possibly of discontinuous nature), the resulting controlled dynamics locally evolves in accordance with:

$$\begin{aligned}\dot{z}_1 &= z_2 \\ \dot{z}_2 &= z_3 \\ &\dots \\ \dot{z}_{n-1} &= - \sum_{i=1}^{n-1} \gamma_i z_i \\ y &= z_1\end{aligned}\quad (9)$$

which is asymptotically stable to zero.

Two of the dynamical discontinuous feedback controller design schemes, here proposed, rely on inducing on (3) an asymptotically stable linear time invariant controlled dynamics such as (9), with eigenvalues placeable at will. This is done by driving the proposed auxiliary output function $s(z)$ to zero. As it will be shown, SM controllers can always accomplish such a task in *finite time*, PFM and PWM controllers, on the other hand, can only accomplish this task in an asymptotically stable fashion, while SSM control can only do it approximately.

Dynamical Sliding Mode Control of Nonlinear Systems

proposition 2.1 Let W be a strictly positive scalar quantity, and let "sign" stand for the *signum* function. The one-dimensional discontinuous system:

$$\frac{ds}{dt} = \dot{s} = -W \text{sign } s \quad (10)$$

globally exhibits a sliding regime on $s = 0$. Furthermore, any trajectory starting on the value $s = s(0)$, at time 0, reaches the condition $s = 0$ in finite time T , given by $T = W^{-1} |s(0)|$.

Proof: Immediate upon checking that globally: $s ds/dt < 0$, which is a well known condition for sliding mode existence [5]. The second part follows trivially from the fact that

$$|s(t)| = -W t + |s(0)| \quad \text{for } 0 \leq t < W^{-1} |s(0)|$$

Proposition 2.2 A minimum phase nonlinear system of the form (1) is locally asymptotically stabilizable to the equilibrium point $(X(U), U, 0)$ if the control action u is specified as a dynamical SM control policy given by the solution of the following implicit, time-varying, nonlinear discontinuous differential equation:

$$c(z, u, \dot{u}, \dots, u^{(\alpha)}) = - \sum_{i=1}^n \gamma_i z_i - W \operatorname{sign} \left[\left(\sum_{i=1}^{n-1} \gamma_i z_i \right) + z_n \right] \quad (11)$$

where $\gamma_0 = 0$.

Proof: Immediate upon imposing on the auxiliary output functions (z) , defined in (7), the dynamics defined by (10).

Due to the implicit character of the differential equation defining u in (11), singularities, known as *impasse points*, may locally arise in those regions where $u^{(\alpha)}$ cannot be explicitly solved for. We, therefore, assume, according to the *Implicit Function Theorem* (see [10]) that in (11) the quantity $\partial c / \partial u^{(\alpha)}$ is locally nonzero and, hence, a unique solution exists for the highest control input derivative $u^{(\alpha)}$ from (11). Under such circumstances, no such singularities need be considered. For more details on ways to avoid such singularities through suitable discontinuities, the reader is referred to Abu el Ata-Dos *et al* [13].

The controller (11) is easily represented in terms of theoretical state space coordinates x by using the input-dependent state coordinate transformation (5).

Dynamical PFM Control of Nonlinear Systems Consider the scalar PFM controlled dynamical system, in which the constants r_1, r_2, r_3 and W , are all strictly positive quantities.

$$\begin{aligned} \dot{s} &= -W \nu \\ \nu &= \text{PFM}_{\tau, T}(s(t)) \\ &= \begin{cases} \operatorname{sign} s(t_k) & \text{for } t_k \leq t < t_k + \tau[s(t_k)] T[s(t_k)] \\ 0 & \text{for } t_k + \tau[s(t_k)] T[s(t_k)] \leq t < t_k + T[s(t_k)] \end{cases} \\ \tau[s(t)] &= \begin{cases} 1 & \text{for } |s(t)| > \frac{1}{r_1} \\ r_1 |s(t)| & \text{for } |s(t)| \leq \frac{1}{r_1} \end{cases} \\ T[s(t)] &= \begin{cases} T_{\max} & \text{for } |s(t)| \geq \frac{1}{r_2} \\ T_{\min} + \frac{r_2 r_3}{r_3 - r_2} \left(s(t) - \frac{1}{r_3} \right) & \text{for } \frac{1}{r_3} < |s(t)| < \frac{1}{r_2} \\ T_{\min} & \text{for } |s(t)| \leq \frac{1}{r_3} \end{cases} \\ k &= 0, 1, 2, \dots; \quad t_{k+1} = t_k + T[s(t_k)] \end{aligned} \quad (12)$$

where it is assumed that $r_2 < r_1 < r_3$. The t_k 's represent *irregularly* spaced sampling instants, determined by the sampled values of the *duty cycle* function, denoted by $T[s(t_k)]$. The duty cycle function, $T[s(t_k)]$, takes values on the closed interval $[T_{\min}, T_{\max}]$ and it varies linearly with respect to $s(t)$ in the region $1/r_3 < |s| < 1/r_2$. The duty cycle, or sampling period, saturates to T_{\max} for large values

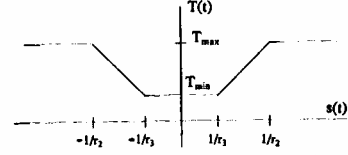


Fig. 1. Duty cycle function for scalar PFM controlled system.

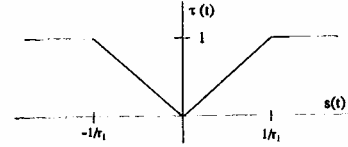


Fig. 2. Duty ratio function for scalar PFM controlled system.

of s , and remains fixed at the constant lower bound T_{\min} for small values of s (see fig. 1). At each sampling instant, t_k , the width of the sign-modulated, unit amplitude, control pulse is determined by the value of the *duty ratio* function, represented by $\tau[s(t_k)]$. The duty ratio function is such that the width of the pulse saturates to 100% of the sampling interval when the absolute value of the variable s exceeds some threshold value given by $1/r_1$. Below such a threshold value, the width of the pulses decrease towards zero linearly with respect to $|s|$ (see fig. 2). The duty cycle and the duty ratio functions may be quite independent of each other. The function "sign", in (12), stands, again, for the *signum function*.

The following proposition establishes a sufficient condition for the asymptotic stability to zero of the PFM controlled system (12).

Proposition 2.3 The PFM controlled system (12) is asymptotically stable to $s = 0$, if

$$0 < r_3 W T_{\max} < 2 \quad (13)$$

Proof: Due to the piecewise nature of the control inputs and the linearity of the continuous system, it suffices to study the stability of the discretized version of (12) at the sampling instants. An exact discretization of the PFM controlled system (12) yields:

$$s(t_k + T) = s(t_k) - W \operatorname{sign} [s(t_k)] \tau[s(t_k)] T[s(t_k)] \quad (14)$$

The stability of (13) follows easily from Lyapunov type of arguments as applied to each one of the possible regions involved in (12). Condition (12) is easily seen to be sufficient to guarantee, both, the uniform decrease of $s(t_k)$ and the absence of limit cycles in the underlying sampled system. For more details of the proof of this proposition the reader is referred to Sira-Ramírez [14] and Sira-Ramírez and Llanes-Santiago [15].

Proposition 2.4 A minimum phase nonlinear system of the form (1) is locally asymptotically stabilizable to the equilibrium point $(X(U), U, 0)$ if the control action u is specified as a dynamical PFM control policy given by the solution of

the following implicit, time-varying, nonlinear discontinuous differential equation :

$$\begin{aligned} c(z, u, \dot{u}, \dots, u^{(\alpha)}) \\ = - \sum_{i=1}^n \gamma_{i-1} z_i - W \text{PFM}_{\tau, T} \left[\left(\sum_{i=1}^{n-1} \gamma_i z_i \right) + z_n \right] \end{aligned} \quad (15)$$

where $\gamma_0 = 0$.

Proof: Immediate upon imposing on the auxiliary output functions $s(z)$ in (7) the asymptotically stable discontinuous PFM dynamics defined in (12).

Dynamical PWM Control of Nonlinear Systems Consider the scalar PWM controlled system, in which $r > 0$ and $W > 0$:

$$\begin{aligned} \dot{s} &= -W \nu \\ \nu &= \text{PWM}_{\tau}(s(t)) \\ &= \begin{cases} \text{sign } s(t_k) & \text{for } t_k \leq t < t_k + \tau[s(t_k)] T' \\ 0 & \text{for } t_k + \tau[s(t_k)] T' \leq t < t_k + T' \end{cases} \\ \tau[s(t)] &= \begin{cases} 1 & \text{for } |s(t)| > \frac{1}{r} \\ r |s(t)| & \text{for } |s(t)| \leq \frac{1}{r} \end{cases} \\ k &= 0, 1, 2, \dots ; \quad t_{k+1} = t_k + T' \end{aligned} \quad (16)$$

It is easy to see that (15) is just a particular case of the PFM controlled system (12) in which the duty cycle function $T[s(t_k)]$ is now taken as a constant of value T' for all values of $s(t_k)$. The following result follows immediately from this fact.

Proposition 2.5 The PWM controlled system (15) is asymptotically stable to $s = 0$ if, and only if, :

$$0 < rWT' < 2 \quad (17)$$

Proof: Sufficiency is clear from the preceding proposition. Necessity follows from the fact that for convergence of the trajectories of system (15) to zero, and in order to avoid a possible limit cycle, there must exist an instant of time t_k such that, independently of the initial condition, $s(t_k)$ lies in the region $|s(t_k)| \leq 1/r$. In this region, the PWM controlled dynamics adopts the form $s(t_{k+1}) = (1 - rWT')s(t_k)$. The result follows (see also Sira-Ramírez [16]).

Proposition 2.6 A minimum phase nonlinear system of the form (1) is locally asymptotically stabilizable to the equilibrium point $(X(U), U, 0)$ if the control action u is specified as a dynamical PWM control policy given by the solution of the following implicit, time-varying, nonlinear discontinuous differential equation

$$\begin{aligned} c(z, u, \dot{u}, \dots, u^{(\alpha)}) \\ = - \sum_{i=1}^n \gamma_{i-1} z_i - W \text{PWM}_{\tau} \left[\left(\sum_{i=1}^{n-1} \gamma_i z_i \right) + z_n \right] \end{aligned} \quad (18)$$

where $\gamma_0 = 0$.

Proof: Immediate upon imposing on the auxiliary output function $s(z)$ in (7) the asymptotically stable discontinuous dynamics defined in (15).

Dynamical SSM Control of Nonlinear Systems

Proposition 2.7 Consider the following one-dimensional SSM controlled system:

$$\begin{aligned} \dot{s} &= -W \nu \\ \nu &= \text{SSM}(s(t)) = \text{sign } s(t_k) \quad \text{for } t_k \leq t < t_k + T' \\ k &= 0, 1, 2, \dots ; \quad t_{k+1} = t_k + T' \end{aligned} \quad (19)$$

Then, given an $\epsilon > 0$, there exists a sampling interval $T'(\epsilon) = \epsilon/W$ for which the trajectories of (17) satisfy the condition

$$|s(t)| \leq 2\epsilon \quad \forall t > W^{-1} |s(0)| = \frac{T'(\epsilon)}{\epsilon} |s(0)|$$

Proof: The proof is immediate from the exact discretization of (17):

$$s(t_k + T') = s(t_k) - W T' \text{sign } [s(t_k)]$$

hence,

$$|s(t_k + T') - s(t_k)| = W T'$$

The first part follows by letting $WT' = \epsilon$. The second part is immediate from the linearity of the system and the fact that for all $t \geq 0$, $|ds/dt| = W$.

Chattering of s around the value $s = 0$, can be made arbitrarily small amplitude according to the width of the sampling interval $T'(\epsilon)$. As $T'(\epsilon) \rightarrow 0$, the response to a SSM strategy asymptotically converges to the response of a SM policy.

Proposition 2.8 A minimum phase nonlinear system of the form (1) is locally stabilizable around the equilibrium point $(X(U), U, 0)$, modulo a small chattering, if the control action u is specified as a dynamical SSM control policy given by the solution of the following implicit, time-varying, nonlinear discontinuous differential equation:

$$\begin{aligned} c(z, u, \dot{u}, \dots, u^{(\alpha)}) \\ = - \sum_{i=1}^n \gamma_{i-1} z_i - W \text{SSM} \left[\left(\sum_{i=1}^{n-1} \gamma_i z_i \right) + z_n \right] \end{aligned} \quad (20)$$

Proof: Immediate upon imposing on the auxiliary output function $s(z)$ in (7) the discontinuous dynamics defined by (17).

A SSM control policy may also be viewed as a particular case of a PWM control policy in which the pulse width $\tau[s(t_k)] T'$ is saturated to the constant value of the sampling interval T' (i.e. the duty ratio, $\tau[s(t_k)]$, is equal to 1 for all values of $s(t_k)$).

III. SOME APPLICATION EXAMPLES

Dynamical PFM, PWM and SSM Control for Regulation of Total Concentration in a Continuously Stirred Tank Reactor

Consider the following simple nonlinear dynamical model of a controlled CSTR in which an isothermal, liquid-phase,

multi-component chemical reaction takes place (see [7]):

$$\begin{aligned}\dot{x}_1 &= -(1 + D_{a1})x_1 + u \\ \dot{x}_2 &= D_{a1}x_1 - x_2 - D_{a2}x_2^2 \\ y &= x_1 + x_2 - C\end{aligned}\quad (21)$$

where x_1 represents the normalized (dimensionless) concentration C_P/C_{P0} of a certain species P in the reactor, with $C = C_{P0}$ being the desired total concentration of the species P and Q measured in mol.m^{-3} . The state variable x_2 represents the normalized concentration C_Q/C_{P0} of species Q . The control variable u is defined as the ratio of the per-unit volumetric molar feed rate of species P , denoted by N_{PF} , and the desired concentration C_{P0} , i.e. $u = N_{PF}/(FC_{P0})$ where F is the volumetric feed rate in $\text{m}^3\text{sec}^{-1}$. The constants D_{a1} and D_{a2} are respectively defined as k_1V/F and k_2VC_{P0}/F with V being the volume of the reactor in m^3 , and k_1 and k_2 are first order rate constants expressed in sec^{-1} .

It is assumed that the species Q is highly acidic while the reactant species R is neutral. In order to avoid corrosion problems in the downstream equipment, it is desired to regulate the total concentration error y towards zero, so that the total concentration value $x_1 + x_2$ converges to a prescribed set-point value, specified by the constant C . It is also assumed that the control variable u is naturally bounded in the closed interval $[0, U_{\max}]$ reflecting the bounded (physical) limits of the molar feed rate of the species P . The numerical value of U_{\max} is a given process parameter whose knowledge is considered to be critical, even if not violated by the resulting numerical values of the computed control input strategy.

It is easy to verify that for the given system (19), the rank of the following 2 by 2 matrix:

$$S = \begin{bmatrix} \frac{\partial y}{\partial x} \\ \frac{\partial y}{\partial u} \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ -1 & -(1 + 2D_{a2}x_2) \end{bmatrix} \quad (22)$$

is everywhere equals to 2, except on the line $x_2 = 0$, which is devoid of practical significance.

A stable constant equilibrium point for this system is given by:

$$\begin{aligned}u &= U \\ x_1(U) &= X_1(U) = \frac{U}{(1 + D_{a1})} \\ x_2(U) &= X_2(U) = \frac{1}{2D_{a2}} \left[-1 + \sqrt{1 + \frac{4D_{a1}D_{a2}U}{(1 + D_{a1})}} \right]\end{aligned}\quad (23)$$

The following input-dependent state coordinate transformation:

$$\begin{aligned}z_1 &= y = x_1 + x_2 - C \\ z_2 &= \dot{y} = -x_1 - x_2 - D_{a2}x_2^2 + u\end{aligned}\quad (24)$$

allows one to obtain a GOCF for the system in the form

given by (3). The inverse of this transformation is obtained by solving (22) with respect to x_1 and x_2 . One obtains:

$$\begin{aligned}x_1 &= z_1 + C - \sqrt{\frac{u - (z_1 + z_2 + C)}{D_{a2}}} \\ x_2 &= \sqrt{\frac{u - (z_1 + z_2 + C)}{D_{a2}}}\end{aligned}\quad (25)$$

Note that from (22), it follows that the quantity inside the square root in (23) equals, precisely, the quantity $D_{a2}x_2^2$ and, hence, it is never smaller than zero.

Using (22) and (23) one obtains \dot{z}_2 in terms of the transformed coordinates. The transformed system equations are then given by:

$$\begin{aligned}\dot{z}_1 &= z_2 \\ \dot{z}_2 &= -2(1 + D_{a1})(z_1 + C) - (3 + 2D_{a1})z_2 \\ &\quad - 2D_{a1}D_{a2}(z_1 + C)\sqrt{\frac{u - (z_1 + z_2 + C)}{D_{a2}}} \\ &\quad + 2D_{a2}^2\sqrt{\left(\frac{u - (z_1 + z_2 + C)}{D_{a2}}\right)^3} + 2(1 + D_{a1})u + \dot{u} \\ y &= z_1\end{aligned}\quad (26)$$

which is in GOCF.

The zero dynamics associated to the output nulling in (24) is given, according to (5), by:

$$\begin{aligned}\dot{u} + 2(1 + D_{a1})(u - C) \\ - 2D_{a1}D_{a2}C\sqrt{\frac{u - C}{D_{a2}}} + 2D_{a2}^2\sqrt{\left(\frac{u - C}{D_{a2}}\right)^3} \\ = 0\end{aligned}\quad (27)$$

The equilibrium points of the zero dynamics are obtained from the solutions of the following algebraic equation, obtained directly from (25) by simply letting $\dot{u} = 0$:

$$\begin{aligned}2(1 + D_{a1})(u - C) - 2D_{a1}D_{a2}C\sqrt{\frac{u - C}{D_{a2}}} \\ + 2D_{a2}^2\sqrt{\left(\frac{u - C}{D_{a2}}\right)^3} = 0\end{aligned}$$

Clearly $u = C$ is an equilibrium point for (25). It may be shown that such an equilibrium point corresponds to an unstable, i.e. nonminimum phase, equilibrium point. On the other hand, it may be verified, after tedious but straightforward algebraic manipulations, that the constant equilibrium point $u = U$, $y(U) = Y(U) = 0$, corresponding to $X_1(U) + X_2(U) = C$, as computed from (21), is an asymptotically stable equilibrium point. The system is, hence, minimum phase around this equilibrium point.

Consider the following auxiliary output function, with $\gamma_1 > 0$:

$$s = z_2 + \gamma_1 z_1 \quad (28)$$

Note that if s is zeroed, by means of a discontinuous control strategy, then, it follows, from the first of (24) and (26), that

the time response of the controlled output function $y = z_1$ is ideally governed by the asymptotically stable linear time-invariant dynamics:

$$\dot{z}_1 = -\gamma_1 z_1 \quad (29)$$

Dynamical PFM Controller Design Imposing on s the asymptotically stable discontinuous dynamics (12) one obtains, after reverting to original state coordinates x_1 and x_2 , the following expression for the dynamical PFM controller:

$$\begin{aligned} \dot{u} = & -(1 - \gamma_1)(u + x_1 + x_2) \\ & + 2D_{a1}D_{a2}x_1x_2 - (3 - \gamma_1)D_{a2}x_2^2 \\ & - 2D_{a2}^2x_2^3 - W \text{PFM}_{\tau,T}[-(x_1 + x_2) \\ & - D_{a2}x_2^2 + u + \gamma_1(x_1 + x_2 - C)] \end{aligned} \quad (30)$$

Dynamical PWM Controller Design Following the same procedure outlined for obtaining the dynamical PFM controller, one obtains a dynamical PWM controller. The resulting expression has, precisely, the same form as that of (28) except for the fact that a PWM control function is used

$$\begin{aligned} \dot{u} = & -(1 - \gamma_1)(u + x_1 + x_2) \\ & + 2D_{a1}D_{a2}x_1x_2 - (3 - \gamma_1)D_{a2}x_2^2 \\ & - 2D_{a2}^2x_2^3 - W \text{PWM}_{\tau}[-(x_1 + x_2) \\ & - D_{a2}x_2^2 + u + \gamma_1(x_1 + x_2 - C)] \end{aligned} \quad (31)$$

Dynamical SSM Controller Design Imposing on s the asymptotically stable discontinuous dynamics (17) one readily obtains the following stabilizing dynamical sampled sliding mode controller in original coordinates:

$$\begin{aligned} \dot{u} = & -(1 - \gamma_1)(u + x_1 + x_2) \\ & + 2D_{a1}D_{a2}x_1x_2 - (3 - \gamma_1)D_{a2}x_2^2 \\ & - 2D_{a2}^2x_2^3 - W \text{SSM}[-(x_1 + x_2) \\ & - D_{a2}x_2^2 + u + \gamma_1(x_1 + x_2 - C)] \end{aligned} \quad (32)$$

Simulation Results Simulations were performed for a reactor characterized by the following parameters:

$$D_{a1} = 1.0 ; D_{a2} = 1.0$$

The simulated control task considered the problem of stabilizing the output y to zero. This task is equivalent to having the total concentration variable converging to the prespecified constant reference value $C = 3$. In other words, the total normalized concentration $x_1 + x_2$ in the system (19) is to be driven to C by asymptotically driving the concentration error $y = x_1 + x_2 - C$ to zero.

Fig. 3 portrays the time response of the dynamical PFM-controlled output y , the smoothed input signal u and the corresponding controlled state trajectories. As before, these variables are seen to converge to their respective equilibrium values. Fig. 4, shows the evolution of the auxiliary output function s , with defining parameter $\gamma_1 = 1.0$, and the time response of the duty cycle function $T[s(t)]$, as well as the duty ratio function $\tau[s(t)]$.

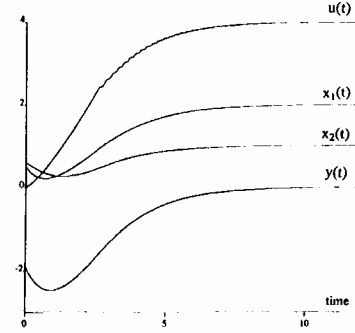


Fig. 3. Output, states and input variables trajectories of dynamical PFM controlled CSTR.

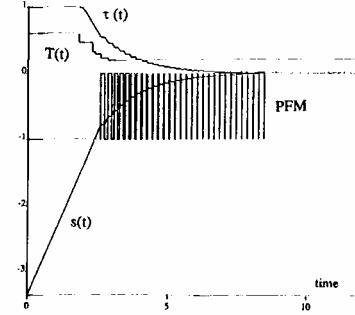


Fig. 4. Evolution of auxiliary output function, duty cycle and duty ratio functions for dynamical PFM controlled CSTR.

The dynamical PFM controller parameters were set, in accordance with condition (12) to

$$\begin{aligned} W = 1 ; r_1 = 1 ; r_2 = 0.5 ; \\ r_3 = 1.5 ; T_{max} = 0.6 ; T_{min} = 0.2 \end{aligned}$$

Fig. 5 depicts the time responses of the output, states and (smoothed) input variables for the dynamical PWM controlled system. Fig. 6 shows the evolution of the auxiliary output function s , with $\gamma_1 = 1.0$, and the time response of the duty ratio function $\tau[s(t)]$. The dynamical PWM controller parameters were set, in accordance with (15), to $W = 1$, $r = 1$, and sampling period $T = 0.5$.

Fig. 7 portrays the time responses of dynamical SSM-controlled output y , the input signal u , exhibiting a small chattering, as generated by the dynamical SSM controller (30), and the corresponding controlled state trajectories x_1 and x_2 . These variables are seen to converge in an asymptotically stable fashion towards their equilibrium values: $y = Y(U) = 0$, $u = U = 4$, $x_1 = X_1(4) = 2$ and $x_2 = X_2(4) = 1$. Fig. 8 shows the evolution of the sliding surface coordinate function s . The parameter γ_1 was set to 1.0.

The dynamical variable structure controller parameters in (11) were set to be $W = 1$, $T = 0.2$.

In order to test the performance of the proposed dynamical PWM controller we induced a temporary plant parameter per-

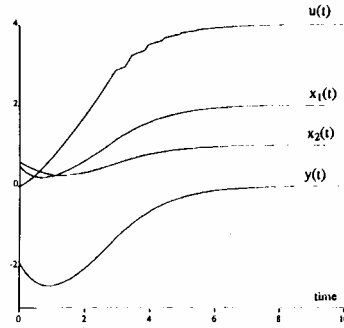


Fig. 5. Output, states and input variables trajectories of dynamical PWM controlled CSTR.

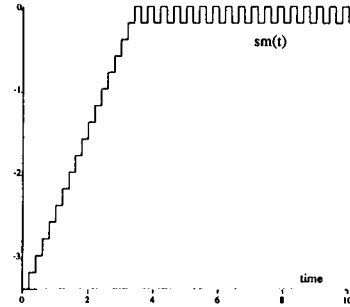


Fig. 8. Sampled sliding surface coordinate evolution for dynamical SSM controlled CSTR.

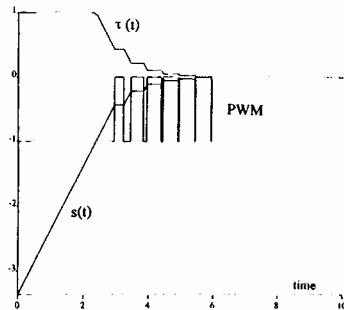


Fig. 6. Evolution of auxiliary output function and duty ratio function for dynamical PWM controlled CSTR.

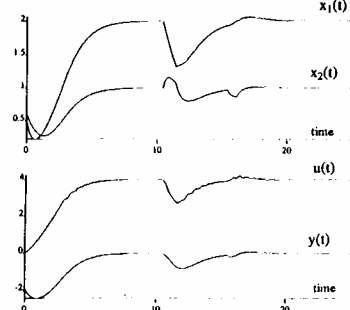


Fig. 9. Time responses of PWM controlled perturbed state trajectories and the corresponding input and output signals.

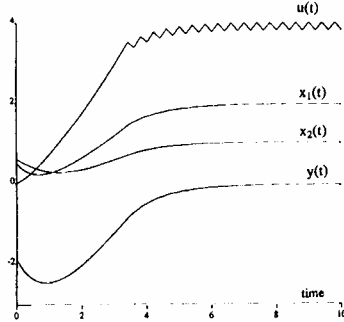


Fig. 7. Output, states and input variables trajectories of dynamical SSM controlled CSTR.

turbation of about one second in duration for both D_{a1} and D_{a2} on different time intervals. The parameters D_{a1} and D_{a2} were allowed to take the value of 1.5 (which represents a 50 % variation from their nominal value of 1.0) on the time intervals [10sec, 11sec] and [15sec, 16sec], respectively. Fig. 9 shows the time responses of the control perturbed state trajectories, the corresponding output and the smoothed control input. The proposed controller is seen to quickly recover the desired stabilization features for the system. Fig. 10 depicts the corre-

sponding duty ratio function, the auxiliary output function and the PWM signal. Similar responses to parametric perturbations were obtained for the PFM and the SSM controllers

Dynamical PFM, PWM and SSM Control of a Double Effect Evaporator

The Double Effect Evaporator Model The following DEE model is taken from Montano and Silva [17].

$$\begin{aligned} \dot{x}_1 &= \delta_1 F_0(c_0 - x_1) + \delta_2 x_1 u \\ \dot{x}_2 &= \delta_3 F_0(x_1 - x_2) + (\delta_4 x_1 + \delta_5 x_2) u \end{aligned} \quad (33)$$

where x_1 represents the product concentration in the first stage of the evaporator, while x_2 stands for the output concentration of the product at the second stage. The control input u is a positive quantity representing the steam flow generated by a boiler. The output variable of the system is the concentration error $y = x_2 - x_{2d}$, with x_{2d} being the required constant value of the output product concentration. The rest of the parameters in (31) are assumed to be known positive constants, except for δ_4 which is negative. We summarize below the necessary steps to obtain dynamical PFM, PWM and SSM regulators for the given system.

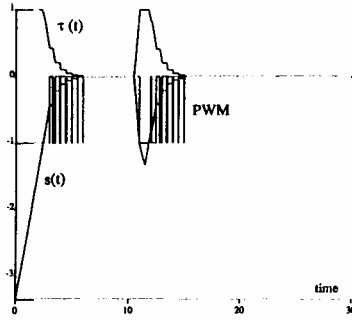


Fig. 10. Evolution of auxiliary output function, duty ratio function and PWM signal for parametrically perturbed PWM-controlled CSTR.

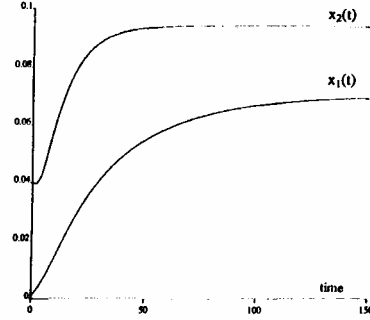


Fig. 12. Dynamical PFM controlled states responses for DEE system.

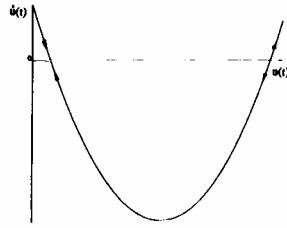


Fig. 11. Phase diagram for zero dynamics of DEE system.

Remark 3.1 A more realistic sixth order model of the DEE was presented in Andre and Ritter [18]. The reduced second order model (31) has been extensively validated, from an experimental viewpoint, in Newell and Fisher [19], while further evaluations and comparisons were carried out in [17]. Our purposes, in using such a simplified model, are to illustrate the proposed dynamical controller designs and test the features, and possible advantages, of using such dynamical discontinuous feedback controllers.

Design of a Dynamical Discontinuous Feedback Regulator for Concentration Control in a DEE In this section we let "DDC" (for Dynamical Discontinuous Control) stand for any of the three proposed discontinuous feedback control alternatives presented in this article. Namely, "DDC" stands for the PFM, PWM and SSM control options.

Rank condition on the output

$$\det \begin{bmatrix} \frac{\partial y}{\partial x} \\ \frac{\partial \dot{y}}{\partial x} \end{bmatrix} = \det \begin{bmatrix} 0 & 1 \\ \delta_3 F_0 + \delta_4 u & -\delta_3 F_0 + \delta_5 u \end{bmatrix} = -(\delta_3 F_0 + \delta_4 u) \quad (34)$$

The rank condition can only be violated by a (positive) constant value of the control input u given by $u = -\delta_3 F_0 / \delta_4$. It is easy to see, from the second equation in (31) that this equilibrium value for the control input u has no physical significance since it implies that the product concentration in the first stage of the evaporator x_1 has no influence, whatsoever, on the product concentration x_2 at the second stage of the evaporator.

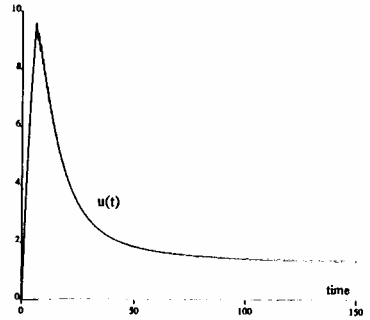


Fig. 13. Control input signal for dynamical PFM regulated DEE system.

Input-dependent State Coordinate Transformation for the GOCF

$$\begin{aligned} z_1 &= y = x_2 - x_{2d}; \\ z_2 &= \dot{y} = \delta_3 F_0 (x_1 - x_2) + (\delta_4 x_1 + \delta_5 x_2) u \\ x_1 &= \frac{z_2 - (\delta_5 u - \delta_3 F_0)(z_1 + x_{2d})}{\delta_3 F_0 + \delta_4 u}; \\ x_2 &= z_1 + x_{2d} \end{aligned} \quad (35)$$

Generalized Observability Canonical Form for the Plant

$$\begin{aligned} \dot{z}_1 &= z_2 \\ \dot{z}_2 &= (\delta_5 u - \delta_3 F_0) z_2 + \frac{\delta_3 F_0 (\delta_4 + \delta_5)(z_1 + x_{2d}) + \delta_4 z_2}{\delta_3 F_0 + \delta_4 u} \dot{u} \\ &\quad + (\delta_2 u - \delta_1 F_0) [(\delta_3 F_0 - \delta_5 u)(z_1 + x_{2d}) + z_2] \\ &\quad + c_0 \delta_1 F_0 (\delta_3 F_0 + \delta_4 u) \\ y &= z_1 \end{aligned} \quad (36)$$

Zero Dynamics

$$\begin{aligned} &\frac{\delta_3 F_0 (\delta_4 + \delta_5) x_{2d}}{\delta_3 F_0 + \delta_4 u} \dot{u} + (\delta_2 u - \delta_1 F_0) [(\delta_3 F_0 - \delta_5 u) x_{2d}] \\ &+ c_0 \delta_1 F_0 (\delta_3 F_0 + \delta_4 u) = 0 \end{aligned} \quad (37)$$

The equilibrium points of the zero dynamics are given by the real solutions of the following quadratic algebraic equation:

$$(\delta_2 u - \delta_1 F_0) [(\delta_3 F_0 - \delta_5 u) x_{2d}] + c_0 \delta_1 F_0 (\delta_3 F_0 + \delta_4 u) = 0$$

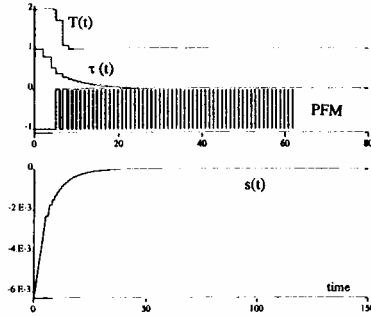


Fig. 14. Evolution of auxiliary output function, duty cycle and duty ratio functions for dynamical PFM controlled DEE system.

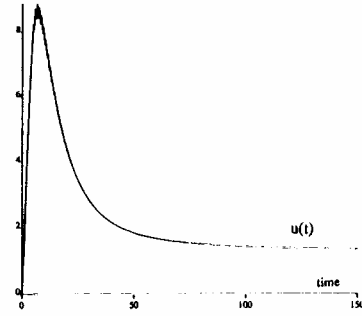


Fig. 16. Control input signal for dynamical PWM controlled DEE system.

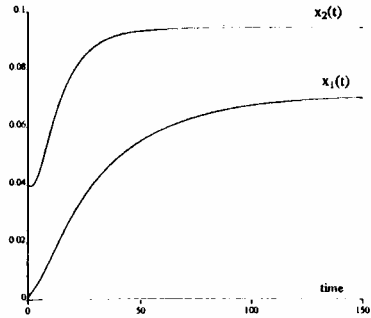


Fig. 15. Dynamical PWM controlled states trajectories for DEE system.

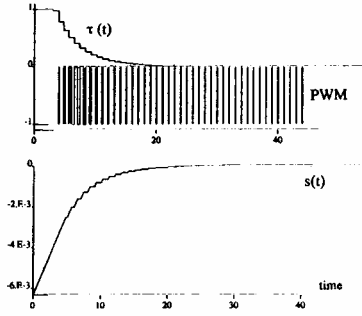


Fig. 17. Evolution of auxiliary output function and duty ratio function for dynamical PWM controlled DEE system.

Fig. 11 shows that one of the solutions of the quadratic algebraic equation (36) represents a stable (minimum phase) equilibrium point for the zero dynamics (35), while the second solution represents an unstable (non-minimum phase) equilibrium point for such a zero dynamics.

Auxiliary Output Function in Transformed and Original Coordinates

$$s(z) = z_2 + \gamma_1 z_1 \quad (38)$$

$$s(x) = \delta_3 F_0 (x_1 - x_2) + [\delta_4 x_1 + \delta_5 x_2] u + \gamma_1 (x_2 - x_{2d})$$

Dynamical Discontinuous Controller (DDC) in Original Coordinates

$$\begin{aligned} \dot{u} = & \frac{1}{\delta_4 x_1 + \delta_5 x_2} \cdot [-(\delta_3 F_0 + \delta_4 u)(\delta_1 F_0 + \delta_4 u) \\ & \cdot [\delta_1 F_0 (c_0 - x_1) + \delta_2 x_1 u] \\ & - (\delta_5 u - \delta_3 F_0) [\delta_3 F_0 (x_1 - x_2) + (\delta_4 x_1 + \delta_5 x_2) u] \\ & - \gamma_1 [\delta_3 F_0 (x_1 - x_2) + (\delta_4 x_1 + \delta_5 x_2) u] \\ & - W \text{DDC} (\delta_3 F_0 (x_1 - x_2) + [\delta_4 x_1 + \delta_5 x_2] u \\ & + \gamma_1 (x_2 - x_{2d}))] \end{aligned} \quad (39)$$

In all three cases, *impassé points* for the dynamical controller occur on the line $\delta_4 x_1 + \delta_5 x_2 = 0$, which, from the second equation in (31), is seen to represent a region of *uncontrollability* of the product concentration on the second

stage of the evaporator. This condition is entirely possible due to the negative value of the parameter δ_4 and the positive values of the concentration variables and the parameter δ_5 . Results are, therefore, valid away from this *singularity* condition. We must emphasize that singularity avoidance has been extensively treated, in a related but somewhat different context, in [13].

Simulation Results

The following parameter values were used in the simulations:

$$F_0 = 2.525 [\text{Kg/min}], \quad c_0 = 0.04,$$

$$\delta_1 = 0.00105, \quad \delta_2 = 8.509 \times 10^{-3}$$

$$\delta_3 = 9.523 \times 10^{-3}, \quad \delta_4 = -7.699 \times 10^{-3},$$

$$\delta_5 = 10.304 \times 10^{-3}$$

With these parameter values, the physically meaningful equilibrium point is found to be $x_1 = 0.7$ and $x_2 = x_{2d} = 0.0939$.

According with the condition (12) of Proposition 3, the PFM controller parameters were set to be:

$$W = 8 \times 10^{-4}; \quad r_1 = 250, \quad r_2 = 300,$$

$$r_3 = 400; \quad T_{max} = 2 [\text{min}]$$

$$T_{min} = 1 [\text{min}]; \quad \gamma_1 = 0.1 [\text{min}^{-1}]$$

Figs. 12, 15 and 18 show the state responses of the dynamical PFM, PWM and SSM controlled systems, asymptotically

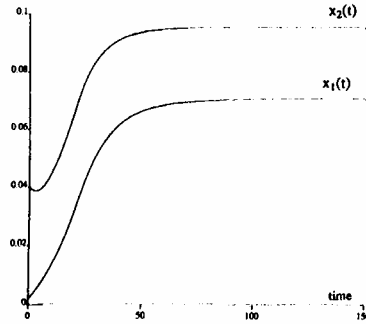


Fig. 18. Dynamical SSM controlled states responses for a DEE system.

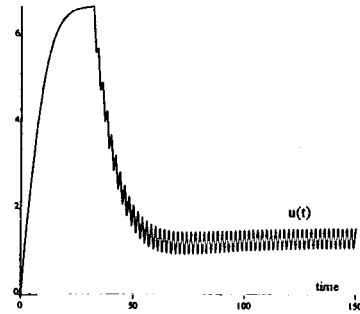


Fig. 19. Control input signal of dynamical SSM controlled DEE system.

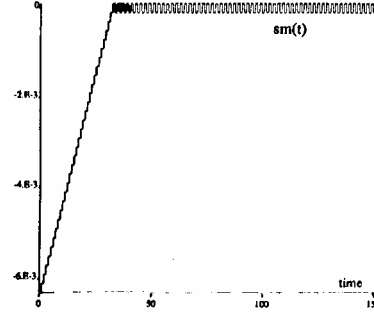


Fig. 20. Sampled sliding surface coordinate evolution for dynamical SSM controlled DEE system.

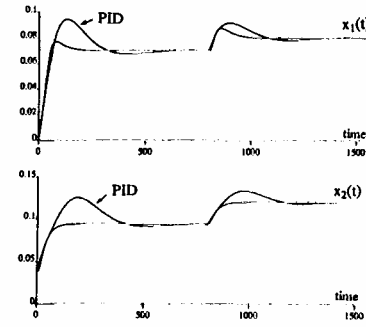


Fig. 21. Dynamical PFM controlled states responses and corresponding PID control responses for a set-point change in a DEE system.

converging toward the desired equilibrium point given by $x_{2d} = 0.0939$ while the concentration x_1 converges to its equilibrium value 0.07. Figs. 13, 16 and 19 depict the smoothed control input trajectories for dynamical PFM, PWM and SSM controlled systems respectively. Fig. 14 depicts the evolution of the auxiliary output function s , the time response of the duty cycle function $T[s(t)]$, and the duty ratio function $\tau[s(t)]$ of the dynamical PFM controlled system. Fig. 17 portrays the evolution of the auxiliary output function s , the PWM signal and the duty ratio function $\tau[s(t)]$ for the PWM controlled system. Fig. 20 shows the auxiliary output function s for the SSM controlled system.

Set point changes, of about 30 % value, were provoked in the desired output value of the dynamical PFM controlled system (from $x_{2d} = 0.0939$ to $x_{2d} = 0.12$). Fig. 21 depicts the state response of the closed-loop PFM system, under such a setpoint change. The dynamical PFM controlled state responses are jointly shown with the corresponding closed-loop state responses of a well tuned proportional-integral-derivative (PID) controller, designed on the basis of the linearized system trajectories. The PID controller parameters, taken from [17], were set so as to obtain a 5% overshoot and rise time of 110 min ($K_p = 28.067$, $T_i = 137.64$ and $T_d = 20.83$). The performance of the dynamical PFM controller, for such large setpoint changes is seen to be vastly superior to that of the designed PID controller, as far as overshoot and settling times are concerned.

Similar results were obtained for the performances of the PWM and SSM controllers when compared to those of the traditional PID controller.

IV. CONCLUSIONS

The feasibility of chattering-free discontinuous feedback controllers has been demonstrated via dynamical feedback strategies based on stabilization of suitably specified auxiliary output functions defined on the basis of Fliess's GOCF. In all cases the discontinuities are relegated to the controller's state space. Therefore, the hardware implementation is quite feasible using present day switching and electronics techniques. Stabilizing PFM, PWM and SSM controller design procedures for nonlinear plants are unified via this approach which is derived from basic facts of the *Differential Algebraic* viewpoint in systems dynamics [2]. It is necessary to remark that the benefits and robustness of these controllers has been studied, from a general viewpoint, in Sira-Ramírez ([20], and from the adaptive control viewpoint in Sira-Ramírez and Zribi [21]. In this study we have also tested the performance of some of the proposed dynamical discontinuous feedback controllers, in typical chemical process examples, with respect to plant parameter variations and with respect to large set point changes. The obtained results and comparisons with traditional PID regulator performances, are quite encouraging, from a simulations viewpoint. The results here presented are also extendable to multivariable nonlinear plants.

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